

Dep & Ref
Room 307



SEP 13 AM 2:55

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NOV 23 2004

Pursuant to 37 CFR 1.26(b), Applicants hereby request a refund of \$300 which was overcharged to Deposit Account 50-3213 for a Publication Fee. In paying the issue fee (copy enclosed) on October 5, 2004, the Publication Fee box was inadvertently checked when, in fact, there was no fee due. Applicant, therefore, requests that a refund in the amount of \$300.00 be credited to Deposit Account No. 50-3213. A copy of the Deposit

$$CCCCC^{\circ\circ}C_x^{\circ}CC'RyR^{\circ\circ}DS_5$$

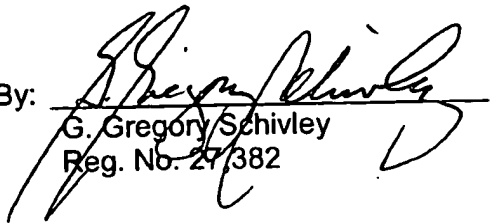
Account Statement is enclosed.

Favorable consideration of this request is respectfully submitted.

Respectfully submitted,

Dated: Oct 11, 2004

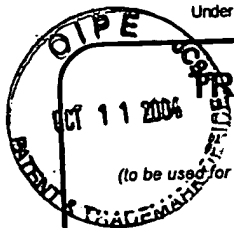
By:


G. Gregory Schivley
Reg. No. 27,382

HARNESS, DICKEY & PIERCE, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600

C: Hisa Tuttle

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number	09/068,270	
	Filing Date	May 4, 1998
	First Named Inventor	Kenji Uchiyama
	Art Unit	2872
	Examiner Name	MITCHELL, James M
Attorney Docket Number	9319S-000062	
Total Number of Pages in This Submission		

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☒ After Allowance Communication to Technology Center (TC)
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s)
(please identify below):
- Request for Refund; return post card.

Remarks

The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-3213. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	G. Gregory Schivley	Reg. No.	27,382
Signature					
Date	October 11, 2004				

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	G. Gregory Schivley	Express Mail Label No.	EV 406 076 564 US (10/11/2004)
Signature		Date	October 11, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EV 406 076 564 US



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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/068,270
	Filing Date	May 4, 1998
	First Named Inventor	Kenji Uchiyama
	Art Unit	2872
	Examiner Name	MITCHELL, James M
Total Number of Pages in This Submission	Attorney Docket Number	9319S-000062

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input checked="" type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Refund; return post card.
Remarks		The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-3213. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name G. Gregory Schivley	Reg. No. 27,382
Signature			
Date	October 11, 2004		

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	G. Gregory Schivley	Express Mail Label No.	EV 406 076 564 US (10/11/2004)
Signature		Date	October 11, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSU
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(703) 746-4000

COPY

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance communications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

07/23/2004

G GREGORY SCHIVLEY
HARNES DICKEY & PIERCE
PO BOX 828
BLOOMFIELD HILLS, MI 48303

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

G. Gregory Schivley (Depositor's name)
G. Gregory Schivley (Signature)
October 5, 2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/068,270	05/04/1998	KENJI UCHIYAMA	93195-000062	2146

TITLE OF INVENTION: SEMICONDUCTOR DEVICE CONNECTING STRUCTURE, LIQUID CRYSTAL DISPLAY UNIT BASED ON THE SAME CONNECTING STRUCTURE, AND ELECTRONIC APPARATUS USING THE SAME DISPLAY UNIT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	10/25/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
MITCHELL, JAMES M	2827	257-783000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Harness, Dickey & Pierce,
P.L.C.

2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Seiko Epson Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-3213 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.
NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature) G. Gregory Schivley (Date)

Reg. 27382

October 5, 2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)

Deposit Account Statement


**United States
Patent and
Trademark Office**

COPY


Deposit Account Statement

Requested Statement Month: October 2004
 Deposit Account Number: 503213
 Name: EPSON RESEARCH AND DEVELOPMENT INC
 Attention: MARK P WATSON
 Address: 150 RIVER OAKS PKWY
 City: SAN JOSE
 State: CA
 Zip: 95134

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/01	6	10869056	9319S-000798	1001	\$770.00	\$117,685.00
10/01	7	10869056	9319S-000798	1051	\$130.00	\$117,555.00
10/01	9	10867567	8319S-000793	1001	\$770.00	\$116,785.00
10/01	10	10867567	8319S-000793	1051	\$130.00	\$116,655.00
10/01	11	10867566	9319S-000789	1001	\$770.00	\$115,885.00
10/01	12	10867566	9319S-000789	1051	\$130.00	\$115,755.00
10/01	40	PAYMENT		9203	-\$40,000.00	\$155,755.00
10/01	237	10886508		8021	\$40.00	\$155,715.00
10/01	238	10870681		8021	\$40.00	\$155,675.00
10/01	262	10865246	9319S-000801	8021	\$40.00	\$155,635.00
10/01	274	10875084		8021	\$40.00	\$155,595.00
10/01	479	10872192	9319S-000781	8021	\$40.00	\$155,555.00
10/01	497	10846842		8021	\$40.00	\$155,515.00
10/04	46	10859473	9319S-000746	1001	\$770.00	\$154,745.00
10/04	47	10859473	9319S-000746	1051	\$130.00	\$154,615.00
10/04	48	10859473	9319S-000746	1202	\$90.00	\$154,525.00
10/04	49	10859473	9319S-000746	1201	\$430.00	\$154,095.00
10/05	41	10844619	9319H-000785	1201	\$86.00	\$154,009.00
10/05	42	10844619	9319H-000785	1202	\$36.00	\$153,973.00
10/06	2	09763582	9319S-000178	1252	\$420.00	\$153,553.00
10/06	3	09763582	9319S-000178	1801	\$770.00	\$152,783.00
10/06	115	10955798	9319S-000062/DVA	1001	\$770.00	\$152,013.00
10/06	116	10955798	9319S-000062/DVA	1202	\$216.00	\$151,797.00
10/06	117	10955798	9319S-000062/DVA	1201	\$172.00	\$151,625.00
10/06	167	10886508	9319S-000783	1001	\$770.00	\$150,855.00
10/06	168	10886508	9319S-000783	1051	\$130.00	\$150,725.00
10/06	218	10865246	9319S-000801	1001	\$770.00	\$149,955.00
10/06	219	10865246	9319S-000801	1051	\$130.00	\$149,825.00

Deposit Account Statement.

10/06 220	10846842	9319S-000760	1001	\$770.00	\$149,055.00
10/06 221	10846842	9319S-000760	1051	\$130.00	\$148,925.00
10/06 222	10846842	9319S-000760	1251	\$110.00	\$148,815.00
10/06 552	10875084		8021	\$40.00	\$148,775.00
10/06 559	10865246	9319S-000801	8021	\$40.00	\$148,735.00
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10/07 5	10872192	9319S-000781	1001	\$770.00	\$146,979.00
10/07 6	10872192	9319S-000781	1051	\$130.00	\$146,849.00
10/07 7	10870681	9319S-000787	1001	\$770.00	\$146,079.00
10/07 8	10870681	9319S-000787	1051	\$130.00	\$145,949.00
10/07 68	10255121	9319S-000406	1801	\$790.00	\$145,159.00
10/07 69	10255121	9319S-000406	1460	\$130.00	\$145,029.00
10/07 70	09625769	9319S-000142	1460	\$130.00	\$144,899.00
10/07 71	09625769	9319S-000142	1801	\$790.00	\$144,109.00
10/07 72	10310636	9319S-000425	1801	\$790.00	\$143,319.00
10/07 73	10310636	9319S-000425	1201	\$528.00	\$142,791.00
10/07 157	09857483	9319M-000200	1501	\$1,370.00	\$141,421.00
10/07 158	09857483	9319M-000200	8001	\$15.00	\$141,406.00
10/07 159	09858859	9319S000210	1501	\$1,370.00	\$140,036.00
10/07 160	09858859	9319S000210	1504	\$300.00	\$139,736.00
10/07 161	09858859	9319S000210	8001	\$15.00	\$139,721.00
10/07 162	10345890	9319S-000441	1501	\$1,370.00	\$138,351.00
10/07 163	10345890	9319S-000441	1504	\$300.00	\$138,051.00
10/07 164	10345890	9319S-000441	8001	\$15.00	\$138,036.00
10/07 165	10308890	9319S-000431	1501	\$1,370.00	\$136,666.00
10/07 166	10308890	9319S-000431	1504	\$300.00	\$136,366.00
10/07 167	10308890	9319S-000431	8001	\$15.00	\$136,351.00
10/08 38	09068270	9319S-000062	1501	\$1,370.00	\$134,981.00
10/08 39	09068270	9319S-000062	1504	\$300.00	\$134,681.00
10/08 40	10345110	9319S000445	1501	\$1,370.00	\$133,311.00
10/08 41	10345110	9319S000445	1504	\$300.00	\$133,011.00
10/08 42	10345110	9319S000445	8001	\$15.00	\$132,996.00
10/08 43	10760175	9319S-000626	1801	-\$770.00	\$133,766.00
10/08 86	10133968	9319A-158DVA	1801	\$790.00	\$132,976.00
10/08 87	10739920	9319S-000634	1001	\$790.00	\$132,186.00
10/08 88	10739920	9319S-000634	1051	\$130.00	\$132,056.00
10/08 89	10739920	9319S-000634	1053	\$130.00	\$131,926.00

START SUM OF
BALANCE CHARGES
\$118,455.00 \$27,299.00

SUM OF END
REPLENISH BALANCE
\$40,770.00 \$131,926.00

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